

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Attorney's Docket: 0221-00030

Prior Application:

Art Unit: 1655

Examiner: B. Forman

SIR: This is a request for filing a

[X] Continuation [ ] Divisional Application under 37 C.F.R. § 1.53 (b)(1) of pending prior application Serial No. 09/515,124 filed February 27, 2000 of John J. Harrington for Compositions and Methods for Non-Targeted Activation of Endogenous Genes  
[Title of Invention]

1. [X] Enclosed is a complete copy of the prior application including the oath or Declaration and drawings, if any, as originally filed. I hereby verify that the attached papers are a true copy of prior application Serial No. 09/515,124 as originally filed on February 27, 2000 (date).
2. [X] Cancel Claims 1-29 and 31-57. (At least one original independent claim must be retained for filing purposes.)
3. [X] A Preliminary Amendment is enclosed.
4. [X] The filing fee is calculated on the basis of the claims existing in the prior application as amended at 2 and 3 above.

		Small Entity		Basic Fee
For	Number Filed	Number Extra	Rate	\$380.00
Total Claims:	1-20= 1	x\$ 9.00=	0	---
Independent Claims:	1-3= 1	x \$ 39.00=	0	---
Multiple Dependent Claim(s) (if applicable)		\$130.00=		
			Total	= \$355.00
			Reduction by ½ for filing by small entity:	
			TOTAL FILING FEE	=: \$355.00

5. ☒ A check in the amount of \$ 790.00 to cover the filing fee and extension of time is enclosed.
6. ☒ The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. § 1.16 and any other fees due under 37 C.F.R. § 1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 50-0622.
7. ☒ Amend the specification by inserting before the first line, the sentence:

--This is a continuation of application Serial No. 09/515,124  
filed February 27, 2000.--

8. ☐ New formal drawings are enclosed.

9. ☐ The prior application is assigned of record to:

10. ☐ Priority of application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ in  
\_\_\_\_\_ (country) is claimed under 35 U.S.C. § 119. A certified  
copy

☐ is enclosed or ☐ is on file in the prior application.

11. ☒ A verified statement claiming small entity status

☒ is enclosed or ☐ is on file in the prior application.

12. ☒ The power of attorney in the prior application is to at least one of the  
following:

13. ☐ The power appears in the original declaration of the prior application.

14. ☒ Since the power does not appear in the original declaration, a copy of the  
power in the prior application is enclosed.

15. ☒ Please address all correspondence to SHANKS & HERBERT, TransPotomac  
Plaza, 1033 N. Fairfax St., Suite 306, Alexandria, VA 22314.

16. ☒ Recognize as associate attorney Suzannah K. Sundby

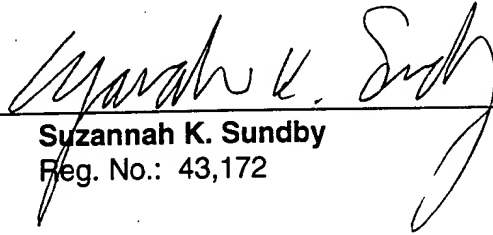
17. ☒ Also enclosed is:

- ☒ Extension of Time
- ☒ A copy of the Assignment filed in prior application.
- ☒ A copy of the Information Disclosure Statement filed in prior application.
- ☒ A copy of the Request for Transfer of Computer Readable Form of  
Sequence Listing Under 37 CFR § 1.821(e) and MPEP 2422.05 filed in prior  
application.

**PETITION FOR EXTENSION.** If any extension of time is necessary for the filing of this application, including any extension in the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 50-0622. A duplicate copy of this paper is enclosed for use in charging the deposit account.

Respectfully submitted,

SHANKS & HERBERT



---

**Suzannah K. Sundby**  
Reg. No.: 43,172

Date: 17 January 2001

TransPotomac Plaza  
1033 N. Fairfax St., Suite 306  
Alexandria, VA 22314  
(703) 386-6134

Applicant: John J. Harrington, et al.

Docket No.: 0221-00030(C)

Serial No: 09/760,897

By: Suzannah Sundby

Filed: January 17, 2001

Title: Compositions and Methods for Non-Targeted Activation of Endogenous Genes

receipt stamp is placed hereon, the USPTO acknowledges receipt of the following:

1. Preliminary Amendment;
2. Marked up version;
3. Request for Transfer of Computer Readable Form of Sequence Listing; and
4. A return postcard.

Applicant: John J. Harrington, et al.  
App. Serial No: 09/760,897  
Filed: January 17, 2001  
Title: Compositions and Methods for Non-Targeted Activation of Endogenous Genes

Docket No.: 0221-00030(C)  
By: Suzannah Sundby

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following:

1. Preliminary Amendment;
2. Marked up version;
3. Request for Transfer of Computer Readable Form of Sequence Listing; and
4. A return postcard.

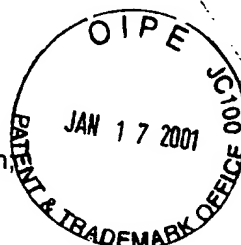


*[Handwritten signature]*



Applicant: John J. Harrington, et al.  
App. Serial No: 09/515,124  
Filed: February 27, 2000  
Title: Compositions and Methods for Non-Targeted Activation of Endogenous Genes

Docket No.: 0221-00030  
By: Suzannah Sundby



When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following:

1. Cover Sheet for Continuation Application;
2. Petition For Extension of Time;
3. Preliminary Amendment;
4. A copy of the Declaration filed in prior application;
5. A copy of the Revocation of Prior Power of Attorney filed in prior application;
6. A copy of the Assignment filed in prior application;
7. A copy of prior application as originally filed;
8. A copy of the Verified Statement filed in prior application;
9. A copy of the Information Disclosure Statement filed in prior application;
10. A copy of the Request for Transfer of Computer Readable Form of Sequence Listing Under 37 CFR § 1.821(e) and MPEP 2422.05 filed in prior application;
11. Our check in the amount of \$790.00; and
12. A return postcard.